



PATENT  
Docket 152967

18w

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ramgopal (NMN) Darolia, et al:  
Serial No.: 10/711,364 : Group Art Unit: 1775  
Filed: September 14, 2004 : Examiner:

For: THERMAL BARRIER COATING WITH MODULATED GRAIN STRUCTURE AND METHOD THEREFOR

Hon. Commissioner of Patents & Trademarks  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)  
☐ 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)  
☐ 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)  
☐ 4. Petition, Certification & Petition Fee Payment [1.97]

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).  
☐ 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and  
☒ 8. The required certification made in Item 11 below, or  
☐ 9. The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.  
☐ 10. 37 CFR §1.97(d) (before payment of issue fee); and

a) This is a petition for consideration of the subject Information

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop Non Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Mary A. Harris  
Signature

2/13/06  
Date

Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in Item 14 below.

b) The required certification is made in Item 11 below.

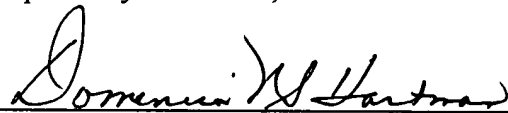
☒ 11. Certification

☒ 12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No.07-0865.

Respectfully submitted,



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February 13, 2006

**INFORMATION DISCLOSURE  
CITATION IN AN APPLICATION**

Attorney Docket No.  
152967

Application No.  
10/711,364

Submitted by:

*Domenica N.S. Hartman*

Applicant:

Ramgopal (NMN) Darolia, et al

Domenica N.S. Hartman, Reg. No. 32,701

Filing Date:  
09/14/04

Group Art No.  
1775

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	6,455,173	09/24/02	Marijnissen, et al	428	623	
	6,183,884	02/06/01	Rickerby	428	623	
	6,063,435	05/16/00	Schulz, et al	427	126.3	
	5,652,044	07/29/97	Rickerby	428	216	
	6,764,779	07 20 04	Liu, et al	428	702	

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Date	Country	Class	Subclass	Translation Yes   No
	WO996562 6	12/23/99				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner	Date Considered

\*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.